Quantum Genetics in terms of Quantum Reversible Automata and Quantum Computation of Genetic Codes and Reverse Transcription

Qu
I.C. Baianu
University of Illinois at Urbana-Champaign,
Urbana, Illinois 61801, USA

e-mail: i-baianu@uiuc.edu

ABSTRACT

The concepts of quantum automata and quantum computation are studied in the context of quantum genetics and genetic networks with nonlinear dynamics. In previous publications (Baianu, 1971a, b) the formal concept of quantum automaton and quantum computation, respectively, were introduced and their possible implications for genetic processes and metabolic activities in living cells and organisms were considered. This was followed by a report on quantum and abstract, symbolic computation based on the theory of categories, functors and natural transformations (Baianu, 1971b; 1977; 1987; 2004; Baianu et al, 2004). The notions of topological semigroup, quantum automaton, or quantum computer, were then suggested with a view to their potential applications to the analogous simulation of biological systems, and especially genetic activities and nonlinear dynamics in genetic networks. Further, detailed studies of nonlinear dynamics in genetic networks were carried out in categories of n-valued, Łukasiewicz Logic Algebras that showed significant dissimilarities (Baianu, 1977; 2004a; Baianu et al, 2004b) from Boolean models of human neural networks (McCullough and Pitts, 1943). Molecular models in terms of categories, functors and natural transformations were then formulated for uni-molecular chemical transformations, multi-molecular chemical and biochemical transformations (Baianu, 1983, 1987, 2004a). Previous applications of computer modeling, classical automata theory, and relational biology to molecular biology, oncogenesis and medicine were extensively reviewed and several important conclusions were reached regarding both the potential and limitations of the computation-assisted modeling of biological systems, and especially complex organisms such as Homo sapiens sapiens (Baianu, 1987). Novel approaches to solving the realization problems of Relational Biology models in Complex System Biology are introduced in terms of natural transformations between functors of such molecular categories. Several applications of such natural transformations of functors were then presented to protein biosynthesis, embryogenesis and nuclear transplant experiments. Topoi of Łukasiewicz Logic Algebras and Intuitionistic Logic (Heyting) Algebras are being considered for
modeling nonlinear dynamics and cognitive processes in complex neural networks that are present in the human brain, as well as stochastic modeling of genetic networks in Łukasiewicz Logic Algebras.

Introduction

The Concepts of Quantum Automata and Quantum Dynamics in terms of The Theory of Categories, Functors and Natural Transformations

Quantum Computation and Quantum Automata were introduced in 1971 in a paper published in the Bulletin of Mathematical Biophysics, 33:339-354 (Baianu, 1971a). Categorical computations, both algebraic and topological, were also introduced the same year based on adjoint functor pairs in the theory of categories, functors and natural transformations (Baianu, 1971b). The notions of topological semigroup, quantum automaton, or computer, were then suggested with a view to their potential applications to the analogous simulation of biological systems, and especially genetic activities and nonlinear dynamics in genetic networks. Further, detailed studies of nonlinear dynamics in genetic networks were carried out in categories of n-valued, Łukasiewicz Logic Algebras that showed significant dissimilarities (Baianu, 1977) from Boolean models of human neural networks (McCullough and Pitts, 1945).

Molecular models in terms of categories, functors and natural transformations were then formulated for uni-molecular chemical transformations, multi-molecular chemical and biochemical transformations (Baianu, 1983, 2004a). Previous applications of computer modeling, classical automata theory, and relational biology to molecular biology, oncogenesis and medicine were extensively reviewed and several important conclusions were reached regarding both the potential and limitations of the computation-assisted modeling of biological systems, and especially complex organisms such as Homo sapiens sapiens (Baianu, 1987).

1. MOLECULAR MODELS IN CATEGORIES

A simple introduction of such a synthesis is based on set-theoretical models of chemical transformations (14). Consider the simple case of unimolecular chemical transformations (14):

\[ T : A \times I \rightarrow B \times I \]

(1)
where $A$ is the original sample set of molecules, $I = [0, t]$ is a finite segment of the real time axis and $A \times I$ denotes the indexing of each $A$-type molecule by the instant of time at which each molecule $a \in A$ is actually transforming into a $B$-type molecule (see also eq.3 in ref.14). $B \times I$ denotes the set of the newly formed $B$-type molecules which are indexed by their corresponding instants of birth.

MOLECULAR SET - $\mathcal{A}$, with $f: A \to A$ are ENDOMORPHISMS that belong to $H(A,A)$

THE CATEGORY OF MOLECULAR SETS AND THEIR TRANSFORMATIONS is $\mathcal{M}$.

THE $h^X$ FUNCTOR: $h^A: \mathcal{M} \to \text{Set}$ is defined as:

$$h^A(X) = H(A,X) \text{ for any } X \in \mathcal{M}$$
$$h^A(t) = m: H(A,A) \to H(A,B) \text{ for any } t: A \to B,$$

where:

$A = \text{MOLECULAR SET}$
$B = \text{MOLECULAR SET OF REACTION PRODUCTS OF TYPE “B”}$, resulting from a definition of the molecular set variable (m.s.v.), defined as follows:

The flexible notion of molecular set variable (m.s.v) is precisely represented by the morphisms $\mathbf{v}$ in the following diagram:

```
  A x I
  |       v
  A    ↓
  h^A  H(A,A)
```

where morphisms $\mathbf{v}$ are induced by the inclusion mappings $i: A \to A \times I$ and the commutativity conditions $h^A = v \circ i$. The naturality of this diagram simply means that such conditions hold for any functor $h^A$ defined as above.
THE REPRESENTATION OF UNIMOLECULAR CHEMICAL REACTIONS AS NATURAL TRANSFORMATIONS:

The unimolecular chemical reaction can be thus represented by the natural transformations \( h^A \xrightarrow{\eta} h^B \), as one can readily check in the commutative diagram:

\[
\begin{array}{ccc}
  h^A (A) = H(A,A) & \xrightarrow{\eta_A} & h^B (A) = H(B,A) \\
  h^A (t) & \downarrow & h^B (t) \\
  h^A (B) = H(A,B) & \xrightarrow{\eta_B} & h^B (B) = H(B,B)
\end{array}
\]

if the states of the molecular sets \( A_u = a_1, \ldots, a_n \) and \( B_u = b_1, \ldots, b_n \) are represented by certain endomorphisms in \( H(A,A) \) and \( H(B,B) \), respectively.

The OBSERVABLE of an m.s.v. \( B \) characterizing the chemical products “B” of a chemical reaction is a MORPHISM:

\[
\gamma : H(B, B) \longrightarrow R
\]

where \( R \) is the set of real numbers.

THIS OBSERVABLE IS SUBJECT TO THE FOLLOWING COMMUTATIVITY or NATURALITY CONDITION:

\[
\begin{array}{ccc}
  H(A, A) & \xrightarrow{c} & H(B, B) \\
  \alpha & \downarrow & \gamma \\
  R & \gamma & \kappa
\end{array}
\]

with \( c : A_u * \longrightarrow B_u * \), and \( A^*, B^* \) being specially prepared fields of states, within a measurement uncertainty range, \( \delta \).
DEFINITION OF A **MULTI-MOLECULAR REACTION**:  

In the case of **multi-molecular reactions**, the canonical functor of category theory:  
\[ h : M \rightarrow [M, \text{Set}] \]  
assigns to each molecular set \( A \) the functor \( h^A \), and to each chemical transformation \( t: A \rightarrow B \), the natural transformation \( h^A \xrightarrow{\eta} h^B \).

The simplest METABOLIC-REPAIR \( (M, R) \)-System with REVERSE TRANSCRIPTION.

---

**DNA DUPLICATION** and **CELL DIVISION** follows next in this series-type, or **linear** categorical diagram.

**FIGURE 1.** The simplest \((M, R)\)-System model of a Primordial Organism. (Updated 06/11/2004).

Possible molecular candidates are indicated at the top of the diagram in Figure 1, above the corresponding METABOLIC \((f)\) or REPAIR/TRANSCRIPTION \((\phi_f)\) components. Surviving organisms have **non-linear** diagrams with feedback and feedforward, note in this case, the ‘**closure**, functional mapping, \( r \), that physically regenerates the telomere and closes the dna-loop at the end of the chromosome. (note also that the above diagram in Fig.1 was updated in 2004; the original diagram in 1983 was completely linear, and did not have the closure map \( r \), the telomere, the reverse transcriptase... and the dna duplication that are now all represented in the updated diagram. Adding to this diagram an hTERT suppressor gene would provide a **FEEDBACK** mechanism for simulating the control of cell division and the possibility of cell cycle arrest that is present in somatic cells. the other alternative—which is preferred—is the addition of an hTERT
that may need to be activated in order to begin ‘perpetual’ cell cycling, as in
‘immortal’ cell lines. It would also allow us to introduce simple models of carcinogenesis or cancer
cells.
STRUCTURAL 'HOMOLOGY' OF C- and Nu3-PROTEINS IS CAUSED BY THE OVERLAP OF THE GENE C WITH THE GENE Nu3 IN THE BACTERIOPHAGE

The mathematical representation of this HOMOLOGY-like sequence is given in DIAGRAM (1):

\[
\begin{align*}
G^k \times G'_c &\rightarrow M^k \times M'_c \rightarrow A^k \times C \\
G^k &\rightarrow M^k &\rightarrow A^k \\
g^* &\rightarrow j_k &\rightarrow p' \\
g'_c &\rightarrow g^* &\rightarrow \eta \\
\end{align*}
\]

The “homology” is mathematically represented by the isomorphisms \( i_{gMc}, i_{NM}, i_k, j_{Mc}, j_{MA}, j_k \). Regardless of the algebraic structure with which \( A^n, A^m, M'_c, M^k, M'_N, G^k, G'_c \) and \( G_N \) are endowed, the projections \( p', p^*, m', m^*, g' \) and \( g^* \) will always be defined. It is apparent from diagram (1) that transcription of the overlapping genes and the biosynthesis of the proteins for which they code will involve certain multi-molecular reactions. As shown in diagram (4) of ref. (1) these processes will lead to certain natural transformations, \( \eta \), as specified in diagram (4).
PHYSICOCHEMICAL MEASUREMENTS ON ORGANISMIC STRUCTURES, \( S_0 \), yield certain **OBSERVABLES** \( F: S_0 \rightarrow S \); these are defined **naturally**, such that the DIAGRAM OF CATEGORIES AND ALGEBRAIC THEORIES:

\[
\begin{array}{c}
S \\
\downarrow F \\
S_0
\end{array}
\]

is commutative.

Such observables of \( S_0 \) associate to each of its elements, \( e_i \), at each moment, the biological activities of \( S_0 \) and the products made as a result of such activities. \( S \) was shown to be an **algebraic theory** and is built from **cartesian products** of the sets describing the biological activities and biochemical products of such activities. Physicochemical measurements on \( S_0 \) produce real numbers so that certain general observables \( X: S_0 \rightarrow \mathbb{R} \) are defined naturally.

**NATURAL TRANSFORMATIONS IN PROTEIN BIOSYNTHESIS AND EMBRYOGENESIS.**

THE SET OF r-PROTEINS is denoted as \( H(A,B) \)

THE SET OF r-PROTEIN mRNA’s is denoted as \( H(B, H(A,B)) \)

THE GENOME TRANSCRIBED INTO r-PROTEIN mRNA is then represented as:

\( H(H(A,B), H(B,H(A,B)) \)

(see also FIGURE 1 for further details)

Let us consider:

\[
\begin{cases}
\text{TWO SETS } X \text{ and } Y \text{ in THE METABOLIC CATEGORY, } M
\end{cases}
\]
and the MAPPING $t: X \to Y$ of $\mathbf{M}$.

**DEFINITION OF THE SPECIAL FUNCTOR** $h^X: \mathbf{M} \to \mathbf{Set}$

- $h^X(Y) = H(X, Y)$ for any set $Y$ in $\mathbf{M}$;
- $h^X(t) = m: H(X, X) \to H(X, Y)$ for any $t: X \to Y$;
- $h^X(g)(t) = g \circ t: H(X, X) \to H(X, Y')$ for any $g: Y \to Y'$ in $\mathbf{M}$,

where $X$ is a certain fixed object in $\mathbf{M}$. The functor $h^X$ carries $Y$ into $H(X, Y)$.

**CONSTRUCTION OF THE SET** $H(B, H(A, B)$ of $r$-PROTEIN mRNAs using the canonical functor $h: \mathbf{M} \to [\mathbf{M}, \mathbf{Set}]$

Is defined as

$$S \leadsto h^X \text{ and } t \leadsto h^X \eta_t \quad h^Y, \boxed{\kappa}$$

Where $t: X \to Y$ and $[\mathbf{M}, \mathbf{Set}]$ is a category of functors from $\mathbf{M}$ to $\mathbf{Set}$.

An **embedding** $I: \mathbf{M} \to \mathbf{Set}$

$\boxed{\times} h^X$ **ARE NATURAL TRANSFORMATIONS**

AND DEFINE $r$-PROTEIN mRNA’s (elements of $H(X, H(X, Y))$)

**PROTEIN BIOSYNTHESIS DEFINED AS A MULTI-MOLECULAR REACTION VIA NATURAL TRANSFORMATIONS**

Such multi-molecular reactions lead to **GENERALIZED OBSERVABLES** as defined next.

Such processes induce certain natural transformations $\nu: \alpha \to \alpha^*$ and $\gamma \to \gamma^*$, with $\alpha, \alpha^*$:

Set $\to \mathbf{R}$ and $\gamma, \gamma^*$: $\mathbf{Set} \to \mathbf{R}$ being certain special functors.
From the definitions of natural transformations and multi-molecular reactions (see formulae (2)-(5) in Section 1) one obtains the following commutative diagram

\[
\begin{array}{ccc}
\text{Set} & \xrightarrow{\alpha, \alpha^*, \gamma, \gamma^*, \ldots} & \mathbb{R} \\
\uparrow h^A & \quad & \uparrow R \\
\mathbb{M} & \quad \quad & \quad \quad \mathbb{L} \\
\downarrow h^B & \quad & \quad \downarrow [\mathbb{M}, \text{Set}] \\
\end{array}
\]

with \( \mathbb{L} \) playing the role of a **generalized observable**. In this diagram, the canonical functor \( h \) assigns to each molecular set \( A \) the functor \( h^A \) and to each chemical transformation \( t: A \to B \), the natural transformation \( \eta: h^A \to h^B \).

**Conclusions and Discussion**

It is often assumed incorrectly that Quantum Computation was introduced in 1982 and that Quantum Automata were introduced in 1997. Actually, the formal concepts of Quantum Automata and Quantum Computation were introduced in 1971, in relation to Quantum Genetics (Rosen, 1960) in a paper published in the Bulletin of Mathematical Biophysics, 33:339-354 (Baianu, 1971a). There are also numerous citations of Quantum Automata papers printed in the late 80’s and also recent quantum computation textbooks that fail to report the first introduction of the concepts of “quantum automaton” and “quantum computation”. Categorical computations, both algebraic and topological, were also introduced in 1971 (Baianu, 1971b) that propose to employ symbolic programming for adjoint functor pairs in the theory of categories, functors and natural transformations (Baianu, 1971b). The notions of topological semigroup, quantum automaton, or computer, were then suggested for applications and modeling, with a view to their potential applications to the analogous simulation of biological systems, and especially genetic activities and nonlinear dynamics in genetic networks. Further, detailed studies of nonlinear dynamics in genetic networks were carried out in categories of n-valued, Łukasiewicz Logic Algebras that showed significant dissimilarities (Baianu, 1977) from Boolean models of human neural networks (McCullough and Pitts, 1943). Further, detailed studies of nonlinear dynamics in genetic networks were carried out in categories of n-valued,
Łukasiewicz Logic Algebras that showed significant dissimilarities (Baianu, 1977; 2004a; Baianu et al, 2004b) from Boolean models of human neural networks (McCullough and Pitts, 1943). Molecular models in terms of categories, functors and natural transformations were then formulated for uni-molecular chemical transformations, multi-molecular chemical and biochemical transformations (Baianu, 1983, 1987, 2004a). Previous applications of computer modeling, classical automata theory, and relational biology to molecular biology, oncogenesis and medicine were extensively reviewed and several important conclusions were reached regarding both the potential and limitations of the computation-assisted modeling of biological systems, and especially complex organisms such as *Homo sapiens sapiens* (Baianu,1987). Novel approaches to solving the realization problems of Relational Biology models in Complex System Biology are introduced in terms of natural transformations between functors of such molecular categories. Several applications of such natural transformations of functors were then presented to protein biosynthesis, embryogenesis and nuclear transplant experiments. Topoi of Łukasiewicz Logic Algebras and Intuitionistic Logic (Heyting) Algebras are being considered for modeling nonlinear dynamics and cognitive processes in complex neural networks that are present in the human brain, as well as stochastic modeling of genetic networks in Łukasiewicz Logic Algebras.

**REFERENCES**


Binliographical Note on Applications of the Theory of Categories, Functors and Natural Transformations, N-categoriesAbelian or NonAbelian to:

Automata Theory/ Sequential Machines, Bioinformatics, Complex Biological Systems, Complex Systems Biology, Computer Simulations and Modeling, Dynamical Systems, Quantum Dynamics, Quantum Field Theory, Quantum Groups, Topological Quantum Field Theory (TQFT), Quantum Automata, Cognitive Systems, Graph Transformations, Logic, Mathematical Modeling, etc.

These articles present research efforts to integrate the applications of the Theory of Categories, Functors and Natural Transformations (next... pushouts, pullbacks, presheaves, sheaves, Categories of sheaves, Topos, n-valued Logic, N-categories/ higher dimensional algebra, Homotopy theory, etc.) to an entire range of: physical, engineering, informatics, Bioinformatics, Computer simulations, Mathematical Biology -areas that are utilizing or developing categorical formalisms for studying complex problems and phenomena appearing in various types of Dynamical Systems, engineering, Computing, Neurosciences, Bioinformatics, biological and/or social networks.


